

Date: May 30, 2022

To
Listing Department,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400001

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report for the year ended March 31, 2022 as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Pursuant to Regulation 24A of the Listing Regulations read with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated February 8, 2019, please find enclosed the annual secretarial compliance report for the year ended March 31, 2022.

This intimation is also being uploaded on the Company's website <https://www.arohan.in>.

This is for your information and records.

Thanking you,

Yours faithfully,

For Arohan Financial Services Limited

ANIRUDH
SINGH G
THAKUR

Digitally signed by
ANIRUDH SINGH G
THAKUR
Date: 2022.05.30
12:32:25 +05'30'

Anirudh Singh G Thakur
Company Secretary & Compliance Officer
Membership No: A13210



Secretarial Compliance Report

Arohan Financial Services Limited

For the period ended 31st March 2022

To,
The Members
Arohan Financial Services Limited

1. We Prateek Kohli & Associates, firm of Practicing Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by **Arohan Financial Services Limited** ("the high value debt listed entity hereinafter referred to as the entity"),
- (b) the filings/ submissions made by the entity to the stock exchanges, in connection to the above,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the period ended 31st March, 2022* ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

2. The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended from time to time, to the extent applicable;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

* The provisions of Regulations 15 to 27 of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations 2015, as amended from time to time, became applicable to a listed entity which has listed its non-convertible debt securities and has an outstanding value of listed non-convertible debt securities of Rupees Five Hundred Crore and above, vide SEBI (Listing Obligations and Disclosure Requirements) (Fifth Amendment) Regulations, 2021 dated 7th Day of September, 2021. Thus, the review period for the report is 07.09.2021 to 31.03.2022.

Office Address: 16/1A British India Street, 6th Floor Suite No.: 6K, Kolkata - 700069

✉ : cspekohli@gmail.com;



- (c) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (e) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:

- (a) The entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) No actions were taken against the entity / its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (d) The reporting's of actions by the listed entity to comply with the observations made in the previous report does not arise during the Review Period.

Place: Kolkata

Date: 19.05.2022

UDIN: F011511D000346901



**For Prateek Kohli & Associates
Company Secretaries**

**Prateek Kohli
Partner
C.P. No.: 16457**